

FORM NO. 13[\[See rule 28\(1\)\]](#)**Application by a person for a certificate under section 197 of the
Income-tax Act, 1961, for no deduction of tax or deduction of
tax at a lower rate**

To
The Assessing Officer,

I, _____, of _____ do hereby, request that a certificate may be issued to the person responsible for paying to me the income by way of salary/interest on securities/interest other than "interest on securities"/insurance commission/commission (not being insurance commission) or brokerage/ dividends/rent/income in respect of units/any sum by way of compensation or the enhanced compensation or the consideration or the enhanced consideration on account of compulsory acquisition of any capital asset (strike out whichever is not applicable) authorising him not to deduct income-tax/to deduct income-tax at the rate of _____ per cent at the time of payment to me of such income/sum. The particulars of my income are as under :

- (i) Status (state whether individual, HUF, firm, BOI, etc.)
- (ii) Residential status (whether resident/resident but not ordinarily resident/non-resident)
- (iii) Permanent Account Number, if any
- (iv) Assessment year to which the payments relate
- (v) Estimated total income of the previous year relevant to the assessment year referred to in (iv) above
- (vi) Total tax payable on the income at (v)
- (vii) Average rate of tax Col. (vi)/Col. (v) $\times 100$
- (viii) How the liability determined in col. (vi) is proposed to be discharged ? (Specify the amount to be paid by way of advance tax and TDS)
- (ix) Total income assessed in the last three assessment years and the total tax paid for each such year :

	Total income	Total tax
(i)		

- (x) Date and amount of advance tax and TDS, if any, already paid so far.
- (xi) Details of income claimed to be exempt and not included in the total income (please append a note giving reason for claiming such exemption).
- (xii) Please furnish the particulars in the Schedules below in respect of the payments for which the certificate is sought :

SCHEDULE I

Description of securities	Number of securities	Date of securities	Amount of securities
(1)	(2)	(3)	(4)

SCHEDULE II

Sl. No.	Name and address of the person to whom the sums are given on interest	Amount of such sums	The date on which such sums were given on interest	Period for which such sums were given on interest	Rate of interest
(1)	(2)	(3)	(4)	(5)	(6)

SCHEDULE III

Sl. No.	Name and address of person responsible for paying insurance commission	Amount of insurance commission
(1)	(2)	(3)

SCHEDULE IV

Sl. No.	Name and address of the company	No. of shares	Class of shares and face value of each share	Total face value of shares	Distinctive Nos. of shares
(1)	(2)	(3)	(4)	(5)	(6)

SCHEDULE V

Sl. No.	Name and address of the employer	Period of employment	Amount of salary received	Income from house property	Income from sources other than salary and income from house property	Total income
(1)	(2)	(3)	(4)	(5)	(6)	(7)

SCHEDULE VI

Sl. No.	Name and address of person responsible for paying rent	Amount of rent
(1)	(2)	(3)

SCHEDULE VII

Sl. No.	Name and address of the mutual fund	Number of units	Class of units and face value of each unit	Total face value of units	Distinctive Nos. of units
(1)	(2)	(3)	(4)	(5)	(6)

SCHEDULE VIII

Sl No.	Name and address of the person responsible for paying compensation or the enhanced compensation or the consideration or the enhanced consideration on account of compulsory acquisition of the capital asset	Amount of compensation of the enhanced compensation or the consideration or the enhanced consideration
(1)	(2)	(3)

SCHEDULE IX

Sl No.	Name and address of the person responsible for paying commission (not being insurance commission referred to in section 194D) or brokerage	Amount of commission (not being insurance commission referred to in section 194D) or brokerage
(1)	(2)	(3)

I, _____, the trustee/co-trustee of _____do hereby declare that the securities/sums/shares, particulars of which are given in the Schedules above, are properly held under trust wholly for charitable or religious purposes and that the income therefrom qualifies for exemption under sections 11 and 13 of the Income-tax Act, 1961.

*I declare that the securities/sums/shares, particulars of which are given in the Schedules above, stand in my name and are beneficially owned by me, and the income therefrom is not includible in the total income of any other person under sections 60 to 64 of the Income-tax Act, 1961.

I further declare that what is stated in this application is correct.

Date

(Signature)

Place

(Address)

Strike out whichever is not applicable.